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CONFIRMATION NO. 1548

Bib Data Sheet

<b>SERIAL NUMBER</b> 09/955,394	<b>FILING OR 371(c) DATE</b> 09/17/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 3694	<b>ATTORNEY DOCKET NO.</b>
<b>APPLICANTS</b> Jason S. Scott, San Carlos, CA; Christopher L. Jones, Redwood Shores, CA; James W. Shearer, San Francisco, CA; John G. Watson, Menlo Park, CA;				
<b>** CONTINUING DATA *****</b> This application is a CIP of 09/151,715 09/11/1998 PAT 6,292,787				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED** SMALL ENTITY **</b> ** 10/25/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 10	<b>TOTAL CLAIMS</b> 41	<b>INDEPENDENT CLAIMS</b> 7
<b>ADDRESS</b> 64128				
<b>TITLE</b> ENHANCING UTILITY AND DIVERSIFYING MODEL RISK IN A PORTFOLIO OPTIMIZATION FRAMEWORK				
<b>FILING FEE RECEIVED</b> 1863	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	